



SUGHRUE MION ZINN MACPEAK & SEAS, PLLC

46 4-30-02
2100 Pennsylvania Avenue, NW
Washington, DC 20037-3213
T 202-793-7060
F 202-793-7860
www.sughrue.com

Darryl Mexic
T 202-663-7909
dmexic@sughrue.com

June 21, 2001

BOX PATENT APPLICATION
Commissioner for Patents
Washington, D.C. 20231



Re: Application of Takehiro MATSUDA
OPTICAL PICKUP APPARATUS
Assignee: **PIONEER CORPORATION**
Our Ref. Q65050

Dear Sir:

Attached hereto is the application identified above including forty-eight (48) sheets of the specification, including the claims and abstract, sixteen (16) sheets of drawings, executed Assignment and PTO 1595 form, and executed Declaration and Power of Attorney. Also enclosed is the Information Disclosure Statement, PTO form 1449, Preliminary Amendment and Proprietary Information Disclosure Statement.

The Government filing fee is calculated as follows:

Total claims	<u>11</u>	-	20	=	<u> </u>	x	\$18.00	=	<u> </u>	\$0.00
Independent claims	<u>1</u>	-	3	=	<u> </u>	x	\$80.00	=	<u> </u>	\$0.00
Base Fee										\$710.00
TOTAL FILING FEE										\$710.00
Recordation of Assignment										\$40.00
TOTAL FEE										\$750.00

Checks for the statutory filing fee of \$710.00 and Assignment recordation fee of \$40.00 are attached. You are also directed and authorized to charge or credit any difference or overpayment to Deposit Account No. 19-4880. The Commissioner is hereby authorized to charge any fees under 37 C.F.R. §§ 1.16 and 1.17 and any petitions for extension of time under 37 C.F.R. § 1.136 which may be required during the entire pendency of the application to Deposit Account No. 19-4880. A duplicate copy of this transmittal letter is attached.

Priority is claimed from June 21, 2000 based on Japanese Patent Application No. P.2000-185796. The priority document is enclosed herewith.

Respectfully submitted,
SUGHRUE, MION, ZINN,
MACPEAK & SEAS, PLLC
Attorneys for Applicant

By: Darryl Mexic
Darryl Mexic
Registration No. 23,063

**PROPRIETARY MATERIAL NOT OPEN TO PUBLIC.
TO BE OPENED ONLY BY EXAMINER OR OTHER
AUTHORIZED PATENT AND TRADEMARK OFFICE EMPLOYEE**

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



In re application of

Takehiro MATSUDA

Appln. No. Not Yet Assigned

Group Art Unit: Not Yet Assigned

Confirmation No.: Not Yet Assigned

Examiner: Not Yet Assigned

Filed: June 21, 2001

For: OPTICAL PICKUP APPARATUS

**PROPRIETARY INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. §§ 1.97 and 1.98
AND IN ACCORDANCE WITH MPEP § 724**

Commissioner for Patents
Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the following U.S. Patent applications which the Examiner may deem material to an examination of the above-identified application.

U.S.S.N. 90/683,466, Filed May 24, 2001

One copy of each of the listed documents is submitted herewith.

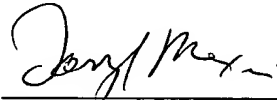
The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date for an application other than a continued prosecution application (CPA) under §1.53(d); (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) The first Office Action after filing a request for continued

examination (RCE) under §1.114, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

The submission of the listed documents is not intended as an admission that any such documents constitute prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

This paper contains proprietary information and is being submitted in accordance with the procedures of MPEP § 724.

Respectfully submitted,



Darryl Mexic
Registration No. 23,063

SUGHRUE, MION, ZINN,
MACPEAK & SEAS, PLLC
2100 Pennsylvania Avenue, N.W.
Washington, D.C. 20037-3202
Telephone: (202) 293-7060
Facsimile: (202) 293-7860
Q65050
DM/plr
Date: June 21, 2001